

trans -Resveratrol and trans - ϵ -Viniferin in Grape Canes and Stocks Originating from Savoie Mont Blanc Vineyard Region: Pre-extraction Parameters for Improved Recovery

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1	Trans-resveratrol and trans-E-viniferin in grape			
2	canes and stocks originating from Savoie Mont			
3	Blanc vineyard region: pre-extraction parameters for			
4	improved recovery			
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9 10 11	KEYWORDS. Stilbenes, viticultural by-products, particles size, vineyard age, storage duration.			
12	ABSTRACT. Viticultural by-products are known to contain high-value compounds such as			
13	stilbenes. Wine sector in Savoie Mont Blanc region (France) generates high amount of waste			
14	including grape canes and grapevine stocks that remain unvalued. Herein, the potential of the most			
15	wide-spread grapevine varieties in Savoie Mont Blanc territory, Jacquère and Mondeuse, as			
16	sources of two stilbenes, trans-resveratrol (Rsv) and trans-E-viniferin (Vf) was investigated for the			
17	first time. Using the reported extraction technique, the present work focused on several pre-			
18	extraction parameters influencing the extraction efficiency, including grapevine variety, age of			

19 vines, time of material storage and particles size of the woody material. This work revealed 20 important Rsv and Vf contents in Jacquère residues of 1681-6124 mg.kg⁻¹_{dw} and 1620-6667 mg.kg⁻¹ 21 $^{1}_{dw}$ respectively, whereas for Mondeuse variety, the target molecules yields were 1724-4636 22 mg.kg⁻¹_{dw} and 1713-5322 mg.kg⁻¹_{dw} respectively. These results are promising when compared with 23 high well-known stilbenes levels in Pinot Noir and Gewurztraminer varieties but also highlight a 24 considerable variability depending on the applied pre-extraction parameters.

25

26 INTRODUCTION

27 A growing demand for natural products in the consumer society emerged in the last decade.¹ In 28 the same vein, agricultural biomass is of great interest because of its richness in high-value 29 compounds and its abundance.² For example, France was the first exporter and the second largest 30 producer of wine worldwide with a production of 36.7 million hectoliters and a vineyard surface 31 of 787,000 hectares in 2017.³ During the winter period, these surfaces produce high amounts of 32 wood-type waste, most of it resulting from the vineyards pruning and consisting in grape canes 33 and grapevine stocks. Theses residues remain unvalued, traditionally burned in vineyards or let on 34 the field, especially on Savoie Mont Blanc territory (France) where the fear of the spread of 35 diseases and the hilly vineyards encourage these practices. However, grapevine by-products are known to contain high-value molecules.^{4,5} Among them, stilbenes are phenolic compounds having 36 37 considerable importance for the grapevine since they defend the plant against aggressions from pathogenic microorganisms by acting as phytoalexins.⁶ They are derived from the shikimic-38 39 polymalonic acid pathway and are biosynthesized by the combination of three malonyl CoA and 40 one p-coumaroyl-CoA by stilbene synthase (StSy) to form trans-resveratrol, the first brick of stilbenoid compounds biosynthesis.^{7,8} *Trans*-resveratrol (5-[(1E)-2-(4-Hydroxyphenyl)ethenyl]-41

42 1,3-benzenediol, CAS: 501-36-0) and its dimer *trans*-ε-viniferin ((3R)-6-hydroxy-2-(443 hydroxyphenyl)-4-[(E)-2-(4-hydroxyphenyl)ethenyl]-2,3-dihydro-1-benzofuran-3-yl]benzene-

44 1,3-diol, CAS: 62218-08-0) are the most studied stilbenes due to their diverse benefits especially 45 in human health and showing anticarcinogenic, cardioprotective and antioxidant properties when stilbenes.^{9–11} 46 of used alone in cocktails or 47 Despite their occurrence in plant kingdom, trans-resveratrol (Rsv) and trans-E-viniferin (Vf) are mainly obtained by chemical synthesis.^{12,13} To a lesser extent, Rsv can be extracted from Japanese 48 49 knotweed (Fallopia japonica) roots and both compounds can be obtained from skin of grapes and 50 berries but with low purity or also by using biotechnologies (cells culture or enzymatic fermentation).^{14–17} Pinot Noir and Gewurztraminer grape canes, widely studied in the literature, 51 are known to be rich in Rsv and Vf.^{18–20} Extraction techniques used to extract the stilbenes from 52 53 grape canes are extensive: classical solid-liquid extraction, ultrasound-assisted extraction, microwave-assisted extraction and pressurized solvent extraction for the most recurrent.²⁰⁻²³ 54 55 However, data found in literature (Table S1, Supporting information) demonstrate that Rsv and Vf 56 extraction yields differ drastically for the same variety depending on other parameters than the 57 extraction technique.

Working from vegetal sources is often problematic because of consequent variations implied by biological diversity. On the other hand, it enables adjustments of all the factors affecting the targeted compounds accumulation in plant. Herein, we propose to investigate several preextraction parameters impacting the extraction yields of Rsv and Vf from grape canes of the two most widespread grapevine varieties on Savoie Mont Blanc territory. To the best of our knowledge these typical Savoie Mont Blanc varieties have not been studied yet and could potentially contain high amounts of stilbenes. The investigated parameters included grapevine variety (Jacquère or Mondeuse), age of vines (5 and 20 years old), particles size of the woody material extracted (< 0.2
mm, < 0.5 mm, < 1 mm and < 4 mm) and time material storage duration (from 2 to 9 months). A
complementary study is provided on the Rsv and Vf contents in Jacquère and Mondeuse stocks
and the variability of both compounds contents induced by the vineyard of origin.

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70 EXPERIMENTAL SECTION

71 **Chemicals**. Ethanol (96%) was purchased from VWR, methanol (\geq 99%) from Honeywell, glacial 72 acetic acid (\geq 99%) from Fisher Chemical, *trans*-resveratrol (\geq 98%) from Evolva, *trans*- ϵ -73 viniferin (\geq 95%) from Extrasynthese and 2-hydroxybenzothiazole (98%) from Alfa Aesar. All 74 chemicals were used as received, without further purification.

Plant material. Jacquère, a white grapevine variety representing 50% of the viticultural surface on Savoie Mont Blanc territory and Mondeuse a red grapevine variety representing 12%, were selected according to their prevalence in Savoie (Alps, France). The first one was grafted on SO4 rootstock (*Vitis riparia x Vitis berlandieri*) and the second one on 3309 rootstock (*Vitis riparia x Vitis rupestris*). Grape canes and stocks (composed of the upper trunk, the grafting zone and the lower trunk of grapevine plant) of both varieties were collected during February 2018 in a vineyard in agri-environmental and climatic measures at Cruet (entitled "Vineyard 1").

82 Evaluation of variety and age impacts. Jacquère and Mondeuse grape canes were harvested from 83 vines of two types, one of 5 years old and one of 20 years old and were stored during 6 months at 84 room temperature (without access to direct sunlight). For each variety, stocks were also harvested 85 and consisted in a pool of 5 years old and 20 years old vines. They were stored during 9 months at room temperature (without access to direct sunlight). After storage duration, all samples were dried
overnight at 50 °C and crushed (Pulverisette 19, Fritsch, < 0.5 mm).

Evaluation of particles size impact. 20 years old Jacquère and Mondeuse grape canes kept at room temperature during (without access to direct sunlight) 6 and 9 months respectively were dried overnight at 50 °C and then crushed at different particles sizes: < 0.2 mm, < 0.5 mm, < 1 mm or < 4 mm (Pulverisette 19, Fritsch). A complementary assay consisted in grinding the rest of the < 4 mm particles size batch at a size < 0.2 mm was also conducted. The impact of particles size was also tested on stocks described above and those of "Vineyard 2" (described in "Evaluation of vineyard origin" section).</p>

Evaluation of storage duration. 20 years old Jacquère and Mondeuse grape canes were kept at room temperature (without access to direct sunlight) during 9 months (from February 2018 and November 2018) and batch of canes were collected at 2 months (in April 2018), 6 months (in August 2018) and 9 months (in November 2018) before to be dried overnight at 50 °C and then crushed (Pulverisette 19, Fritsch, < 0.2 mm).</p>

100 *Evaluation of vineyard origin.* In the same time, 5 years old and 20 years old Jacquère grape canes 101 and Jacquère and Mondeuse stocks were harvested from another vineyard in biological measures 102 at Chignin (entitled "Vineyard 2"). The samples were treated as corresponding samples of 103 Vineyard 1 described in the section "Evaluation of variety and age impacts".

Extraction process. All samples were extracted according modified conditions of Pineiro *et al..*²¹
1 g of powder was extracted with 30 mL of ethanol/water 80:20 (v/v) during 1 h at 60 °C under

gentle stirring. Extractions from a same batch were performed in 5 replicates. Crude extracts were
then filtered (0.22 µm, PTFE) without any concentration step prior to HPLC-UV analysis.

108 High performance liquid chromatographic analysis. Stilbenes characterization and 109 quantification in crude extracts were carried out on a HPLC system with UV detection (Perkin 110 Elmer, Series 200). Separation was performed at 40 °C on a column packed with 5 µm particles 111 (250 x 4.0 mm, Nucleosil 100-5 C18 PAH, Macherey Nagel). Stilbenes were analyzed using a 112 mobile phase gradient consisting of 0.1% v/v of acetic acid in water (solvent A) and 0.1% v/v acetic acid in methanol (solvent B) pumped at 1 mL.min⁻¹ into HPLC system with 5 µL as injection 113 114 volume. The gradient program was as follows: 25-45% B (from 0 to 5 min), 45-48% B (from 5 to 115 19 min), 48-99% B (from 19 to 21 min), 99% B (from 21 to 23 min), 99-25% B (from 23 to 28 116 min) and 25% B (from 28 to 38 min). Compounds were identified at 290 nm (λ) according to their 117 retention time and quantification was performed using a calibration curve of commercial trans-118 resveratrol, trans-e-viniferin and 2-hydroxybenzothiazole that was used as internal standard in 119 crude extracts. LOD and LOQ values were determined for each compounds according to the 120 following equations (from ICH guidelines): LOD = $3.3\delta/s$ and LOQ = $10\delta/s$ where δ is the standard 121 deviation of noise level and s is the slope of calibration curves. Purity of Rsv and Vf was evaluated 122 by HPLC-UV as follows: standard calibration was analyzed at different wavelengths: 220 nm, 260 123 nm, 290 nm and 320 nm. The ratios between peak area at 290 nm with peaks areas at other 124 wavelengths were determined (Table S4, Supporting information). Then, these ratios were 125 compared to those of compounds in crude extracts and a difference of $\pm 1\%$ was accepted to 126 validate the purity of the chromatographic peak.

127 Statistical analysis. Statistical analyses were performed using R software. All data (Rsv and Vf 128 yields of 5 replicates) were tested for normality and homoscedasticity with appropriate tests 129 (ANOVA, Kruskal-Wallis, t-test) with $\alpha = 0.05$ defined as threshold for statistical significance. 130 Different letters (a,b) were used to show the statistical differences between samples as a function 131 of the parameter studied. A same letter is used to shown data non significantly different. When 132 different letters are assigned to different data, it means that they are significantly different from 133 each other. Data with several letters are consequently non significantly different from data with 134 individual letter. In Figure S7 of Supporting information, asterisks were used to show significant statistical differences with * for $p \le 0.05$, ** for $p \le 0.01$, *** for $p \le 0.001$ and ns for not 135 136 significant.

137 **RESULTS AND DISCUSSION**

Identification of Rsv and Vf in extracts. The retention times of Rsv and Vf (10.2 min and 12.1
min respectively) were compared to those of commercial standards solutions (Figure 1). In all
crude extracts,

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Figure 1. Chemical structures of *trans*-resveratrol (1) and its dimer *trans*- ϵ -viniferin (2).

146	both Rsv and Vf were found at detectable and quantifiable levels independently of the type of
147	resource studied (Table 1 and Figure S2, Supporting information). No co-elution phenomenon
148	with cis-resveratrol or other compounds was observed (Figure S3 and Table S4, Supporting
149	information). Using HPLC-UV with a detection at 290 nm (λ), Rsv and Vf respond linearly in the
150	range of 3.75-270 μ g/mL ⁻¹ and with a correlation coefficient $r > 0.99$. Quantified results were then
151	expressed in milligrams of Rsv or Vf extracted per kilograms of dry weight (mg.kg ⁻¹ dw). At 290
152	nm (λ) detection, the major compounds detected in Jacquère and Mondeuse grape canes extracts
153	were Rsv and Vf whereas stocks extracts were more complex. Gabaston et al. also reported that
154	Rsv and Vf were the main stilbenoid compounds in grape canes (mixture of Cabernet Sauvignon
155	and Merlot) when Rsv oligomers (as <i>trans</i> -ɛ-viniferin, isohopeaphenol, <i>r</i> -viniferin, ampelopsin A
156	and r2-viniferin) were more abundant in wood (mixture Cabernet Franc and Tannat) and roots
157	(SO4 rootstock). ²⁴

Compound	$LOD (\mu g/mL^{-1})$	$LOQ (\mu g/mL^{-1})$	$t_R(\min)$
trans-resveratrol	0.119	0.362	10.2
trans-E-viniferin	0.153	0.465	12.1

Table 1. Limits of detection (LOD), limits of quantification (LOQ) and retention times (t_R) of161*trans*-resveratrol and *trans*- ϵ -viniferin using the HPLC gradient described in experimental section.162

163 Impact of vine variety and vineyard age. Grape canes of Jacquère and Mondeuse treated in the
164 same conditions (Vineyard 1, 6 months of storage and particles size < 0.5 mm) of 5 years old and
165 20 years old vines were compared (Figure 2). Independently of age, Mondeuse grape canes

166 contained significantly higher levels of Rsv (3759-4636 mg.kg⁻¹dw) than Jacquère (2259-2994 167 mg.kg⁻¹dw) whereas Vf levels were similar for both varieties (1620-2016 mg.kg⁻¹dw). These 168 quantities were in the same range than high levels of Pinot Noir and Gewurztraminer grape canes 169 (Table S1, Supporting information). Another statistical analysis (Figure S5, Supporting

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178 information) performed on the impact of age showed that aging of vineyards had a positive effect 179 on stilbenes contents of Jacquère since Rsv and Vf levels increased when the vine becomes older 180 (Figure 2). This tendency was also confirmed with Jacquère grape canes of an another vineyard (Vineyard 2) with Rsv levels switching between 1838 mg.kg $^{-1}$ dw for 5 years old vines and 2912 181 182 mg.kg⁻¹_{dw} for 20 years old vines (Figure S6, Supporting information). Interestingly, the opposite 183 effect was observed for Mondeuse since Rsv levels were higher for young than for old vines 184 (Figure 2). To the best of our knowledge, it was the first time that a study was conducted on the 185 effect of ageing of vines on their stilbenes contents. Further studies (multiplying the sampling 186 vineyards) are needed to confirm these tendencies and investigate the mechanisms involved. 187 However, according to the results obtained, the selection of appropriate vine age could enhance up 188 to 35% the Rsv contents extracted.

189 In any case, if Mondeuse grape canes were richer in Rsv than Jacquère grape canes, the opposite 190 effect was observed for the vine stocks (Figure 3). Indeed, the amount of Rsv was 2171-2632 mg.kg⁻¹_{dw} for Mondeuse stocks and 3537-5148 mg.kg⁻¹_{dw} for Jacquère stocks (intervals depending 191 192 on the particles size used). At the same time, Vf contents remained similar in both varieties with 4640-5322 mg.kg⁻¹_{dw} for Mondeuse variety and 4091-4929 mg.kg⁻¹_{dw} for Jacquère variety as seen 193 194 on Figure 4. However, significant variability could be observed depending on the vineyard (Figure 195 S7, Supporting information). For Jacquère stocks of Vineyard 2, Rsv levels reached up to 6124 mg.kg⁻¹dw and Vf levels up to 6667 mg.kg⁻¹dw whereas for Mondeuse Rsv levels did not exceed 196 1953 mg.kg⁻¹_{dw} and Vf levels 4094 mg.kg⁻¹_{dw}. Németh *et al.* observed the opposite effect for Rsv 197 198 since the authors noted a higher concentration in grape canes than in roots of Merlot grafted on TK5BB rootstock.²⁵ This divergence could be explained by several factors including year to year 199 variations and different rootstocks.²⁶⁻²⁹ 200

Whether it is for grape canes or stocks, lots of factors could explain the differences observed between the levels of the two exploitations. The UV-exposition of vineyards depending directly of their location impacts the stilbenes accumulation in grape canes as well as the soil composition or the fungal pressure.^{30–32} Viticultural practices, as leaf removal, irrigation or nitrogen fertilization and differing from a vineyard to another, could also be responsible for these variations.^{33,34}

206 Impact of particles size. A patent published in 2015 mentioned that particles size of Melon de 207 Bourgogne grape canes influences Rsv and Vf yield in a classical maceration in ethanol/water 80:20 (v/v) during 15 min followed by an extrusion of the mixture.³⁵ According to the patent, 4 208 209 mm was better than 8 and 1 mm to recover both compounds (respectively 915, 387 and 764 mg.kg⁻ ¹_{dw} for Rsv and 8170, 6440 and 5482 mg.kg⁻¹_{dw} for Vf). In the present study, grape canes of 210 211 Jacquère variety (Vineyard 1, 20 years old, 6 months of storage) and Mondeuse (Vineyard 1, 20 212 vears old, 9 months of storage) were crushed at different particles sizes (< 0.2 mm, < 0.5 mm, < 1213 mm and < 4mm) to investigate the effect of particles size on Rsv and Vf extraction. Results on 214 Figure 3 showed higher yields while increasing particles size for Rsv whereas the opposite effect 215 was observed for Vf. These results were observed for both varieties. For Jacquère, Rsv yields were 216 improved up to 20% when particles size < 4 mm was used instead of < 0.2 mm and Vf yields 217 decreased of 50%. Gorena et al. firstly demonstrated that intact canes are required to allow 218 stilbenes accumulation (mainly due to Rsv contents increase) during storage while in < 20 mesh (i.e. < 0.84 mm) samples no accumulation occurs.³⁶ Similarly, Billet *et al.* recently mentioned that 219 220 cutting grape canes in small sections of 5 and 10 mm before storage causes a faster Rsv





Figure 3. Rsv and Vf contents (expressed in milligrams per kilograms of dry weight, mg.kg⁻¹_{dw}) in Jacquère and Mondeuse grape canes and stocks in function of particles size (mm). On each histogram, different letters were used to show significant statistical differences between particles sizes for a same compound and a variety ($\alpha = 0.05$). 0.2*: batch of < 4 mm powder crushed at < 0.2 mm.

accumulation in comparison with 2 mm sections.³⁷ In the present work, it was shown that larger 229 230 was the particles size (in the range of 0.2-4 mm), better was the plant response to mechanical 231 wounding in terms of Rsv accumulation. This behavior is really unexpected since grape canes were 232 stored during 6 and 9 months and were almost dry (2-3 grams of water per 100 grams of grape 233 canes). However, Ewald et al. also noted a biological response to drought stress of grape canes Rsv.²⁸ 234 30 months. especially of stored up to in the case 235 In addition, it was demonstrated for the first time that the biosynthesis of Vf is not impacted by 236 grinding. Extraction efficiency of this compound is only ruled by mass transfer limitation since a 237 secondary grinding of the particles size < 4 mm batch at < 0.2 mm allowed to recover yields 3).38,39 238 obtained the 0.2 (0.2*)for first <mm batch Figure on 239 Interestingly, extraction efficiency of targeted compounds in stocks decreased when the particles 240 size increased probably showing the absence of biological response under mechanical stress in this 241 part of the plant. This tendency was also observed in stocks of Vineyard 2 (Figure S7, Supporting 242 information).

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244 Impact of storage duration. There are numerous studies on the evolution of stilbenes contents in grape canes during their storage.^{12,18,19} The reported works admit that there is a peak of Rsv 245 246 accumulation in grape canes between 6 weeks and 6 months of storage. In the present work, impact 247 of storage on compound accumulation was evaluated on grape canes of Jacquère and Mondeuse 248 varieties of the same exploitation (Vineyard 1) and the same age (20 years). Shoots were stored 249 for 9 months at room temperature with collections at 2, 6 and 9 months and crushing at < 0.2 mm. 250 The results presented on Figure 4 showed similar levels for Rsv and Vf for both varieties at 2 251 months of storage. At 6 months, Rsv levels increased significantly up to 1.5 fold for Jacquère and

up to 2 fold for Mondeuse whereas Vf contents decreased slightly. After 9 months of storage, Rsv levels started to decrease and Vf levels continued to decrease, specially for Mondeuse with a significant loss of 30% when compared to 2 months levels (16% for Jacquère). Rsv increase for the first 6 months was also observed by Cebrián et al. whereas Gorena et al. and Houillée et al. highlighted Rsv increase only until 2-3 months followed by a decay for various grapevine varieties



Figure 4. Rsv (solid line) and Vf (dashed line) contents (expressed in miligrams per kilograms of dry weight, mg.kg⁻¹_{dw}) in Jacquère and Mondeuse grape canes depending on their storage duration at room temperature. Different letters were used to show significant statistical differences between durations for a same compound and a same variety ($\alpha = 0.05$).

showing that it is not a phenonomenom proper to Jacquère and Mondeuse varieties.^{36,41,40} The divergence between the Rsv accumulation durations could be due to several storage specific factors. Indeed, temperature, relative humidity and UV exposition of storage location could also affect stilbenes accumulation.^{40,42,43} Concerning Vf contents evolution over time, to the best of our knowledge, it was the first time that a considerable and constant decrease during storage was mentioned.

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273 CONCLUSIONS

274 Jacquère and Mondeuse grape canes and stocks from Savoie Mont Blanc territory represent 275 valuable sources of trans-resveratrol (Rsv) and trans-E-viniferin (Vf) with contents up to 6124 mg.kg⁻¹_{dw} for Rsv and up to 6667 mg.kg⁻¹_{dw} for Vf. However, it must be not forgotten that 276 considerable variations are observed from year to year.^{26,28,29,44} Nevertheless, this study also 277 278 highlighted the variability that could be induced by several pre-extraction parameters influencing 279 recovery of Rsv and Vf, some of which could be optimized. Age of vineyards seems to have an 280 effect on the stilbenes content of grape canes and further investigations are needed to confirm this 281 tendency. Remarkably, the increase of particles size of the woody material entailed an 282 enhancement of Rsv extraction in Jacquère and Mondeuse grape canes with an optimum for 283 particles size < 4 mm in comparison with < 0.2 mm, < 0.5 mm and < 1 mm. This resulted from an 284 accumulation of Rsv by plant response to a mechanical stress whereas Vf biosynthesis was not 285 impacted. Further investigations are needed to better understand this phenomenon. Comparably to 286 other works, Vf contents in Jacquère and Mondeuse grape canes decreased considerably during 9 287 months of storage while Rsv accumulated effectively during 6 months and then decreased. The 288 common practice of open-air burning justified by the fear of diseases propagation in viticultural

community (especially in the case of stocks) will be no longer possible on Savoie Mont Blanc territory. Indeed, a prefectoral decree implemented in 2017 prohibits open-air burning of agricultural waste including viticultural waste. This decision will bring an important amount of waste with high contents of Rsv and Vf available for chemical valorization.

294 ASSOCIATED CONTENT

Supporting Information: Table of Rsv and Vf contents in Pinot Noir and Gewurztraminer grape canes from the literature; HPLC-UV chromatograms from experiments; Rsv and Vf contents in Jacquère and Mondeuse grape canes in function of the age of vines, the vineyard of origin and plant material particles size.

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452 SYNOPSIS

- 453 The present work provides new data on the impact of pre-extraction parameters in terms of high-
- 454 value compounds recovery from typical Savoie Mont Blanc territory grape canes and grapevine
- 455 stocks.

